

1 Focused ultrasound alters neural time irreversibility 2 independently of response amplitude

3 Jacek P. Dmochowski and David H. Wolpert

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5 **Abstract**

6 Transcranial focused ultrasound (tFUS) can modulate activity in deep brain regions non-
7 invasively, yet its effects remain variable and incompletely understood. Because sonication
8 deposits mechanical energy into tissue, we asked whether responses could be clarified through
9 the lens of stochastic thermodynamics, which links nonequilibrium dynamics to dissipation
10 and time-irreversibility. Analyzing previously published fiber-photometry recordings from
11 freely moving mice, we estimated the entropy production rate (EPR) before, during, and after
12 thalamic sonication. GCaMP fluorescence rose monotonically with acoustic dose, but EPR
13 followed a non-monotonic profile peaking at moderate intensity. Immediately after sonication,
14 EPR was elevated yet uncorrelated with GCaMP, and retained a significant dose relationship
15 even after accounting for response amplitude. Finally, trials with lower baseline EPR showed
16 larger subsequent changes in both EPR and GCaMP during sonication. These findings indicate
17 that tFUS reshapes neural dynamics beyond what signal amplitude captures, while highlighting
18 the insights afforded by adopting stochastic thermodynamics in neuromodulation research.

1 Introduction

Transcranial focused ultrasound (tFUS) has emerged as a promising non-invasive approach for modulating deep brain activity with millimetre-scale spatial precision and access to targets that are difficult to reach with electromagnetic stimulation [5, 6, 17, 27, 38, 49, 50]. Realization of this promise will require addressing the widely observed variability in the direction and magnitude of the brain's response to stimulation across subjects, sessions, and trials [7, 14, 36, 37, 39, 51, 56]. Potential sources of this variability include biophysical differences between subjects [14], differences in experimental design across studies [37, 56], and the inherently non-stationary nature of neural activity – stimulation interacts with ongoing dynamics, such that the response is itself modulated by the baseline state [39]. In the transcranial magnetic stimulation (TMS) literature, state-dependent effects are well documented [4, 48]. Such findings suggest that explaining response variability will require modeling tFUS as a perturbation of a non-stationary dynamical system.

In practice, most efforts to explain variable tFUS responses have focused on the stimulation itself by mapping dose-response curves across acoustic parameters [36, 56]. Less attention has been given to the choice of outcome measure. The dependent variable is typically a measure of overall activity, such as population firing rate or fluorescence amplitude. These readouts quantify how much activity changed, but are largely agnostic to the underlying temporal organization. In a dynamical system, an amplitude increase can arise from different regimes: a tonic elevation, a transient burst, or a shift in excitatory-inhibitory balance. Moreover, dynamical reorganization and amplitude changes need not co-occur: a perturbation can alter temporal organization without producing a resolvable change in mean amplitude, or vice versa.

This limitation motivates a complementary viewpoint from nonequilibrium statistical physics. The brain is a paradigmatic far-from-equilibrium system that continuously expends energy to maintain organized activity patterns. tFUS is itself an energetic perturbation: it deposits acoustic energy into dissipative neural tissue. Understanding neuromodulation is thus partly a question of how a system that is already far from thermodynamic equilibrium processes an additional, exogenous energy flux. The field of stochastic thermodynamics [16, 47] provides the framework to address this

46 question. Central to this framework are fluctuation theorems [11, 22] that relate nonequilibrium
47 work to equilibrium free-energy differences, and estimators of entropy production rate (EPR) that
48 quantify broken detailed balance and have revealed nonequilibrium dynamics in living systems
49 [3, 20]. In systems weakly coupled to an environment, stochastic thermodynamics relates fluctua-
50 tions, time-irreversibility, and entropy production, connecting observed trajectories to dissipation
51 and the thermodynamic arrow of time [13, 42, 46]. Recent work has shown that temporal irre-
52 versibility estimated from neural time series captures mesoscopic nonequilibrium structure in the
53 brain, with irreversibility varying across brain states, arousal levels, and pharmacological condi-
54 tions [12, 31, 32, 45]. If sonication changes the nonequilibrium character of neural dynamics (i.e.,
55 how irreversibly the system evolves in time) then stochastic thermodynamics may afford a new
56 understanding of ultrasonic neuromodulation.

57 Here we employ tools from stochastic thermodynamics to conduct a novel analysis of an ex-
58 isting dataset from Murphy et al. [36], which combined fiber photometry of the behaving rodent
59 thalamus with tFUS at varying intensities (Figure 1A,B). We estimated an ordinal surrogate of the
60 entropy production rate (EPR) before, during, and after stimulation (Figure 1C,D) to address the
61 following questions: (i) Does tFUS modulate neural irreversibility either during or immediately
62 after sonication? (ii) Does EPR contain information beyond that of calcium amplitude? (iii) Does
63 prestimulation irreversibility predict the subsequent response to stimulation? We present evidence
64 that all three answers are affirmative and discuss their implications for the mechanistic understand-
65 ing of ultrasonic neuromodulation.

66 **2 Results**

67 **2.1 Calcium amplitude and irreversibility show distinct dose-response pat-** 68 **terns**

69 We analyzed fiber photometry recordings from the central medial thalamus (CMT) [36]. Each ana-
70 lyzed trial included a 5 second baseline, 5 seconds of stimulation, and the 10 seconds immediately

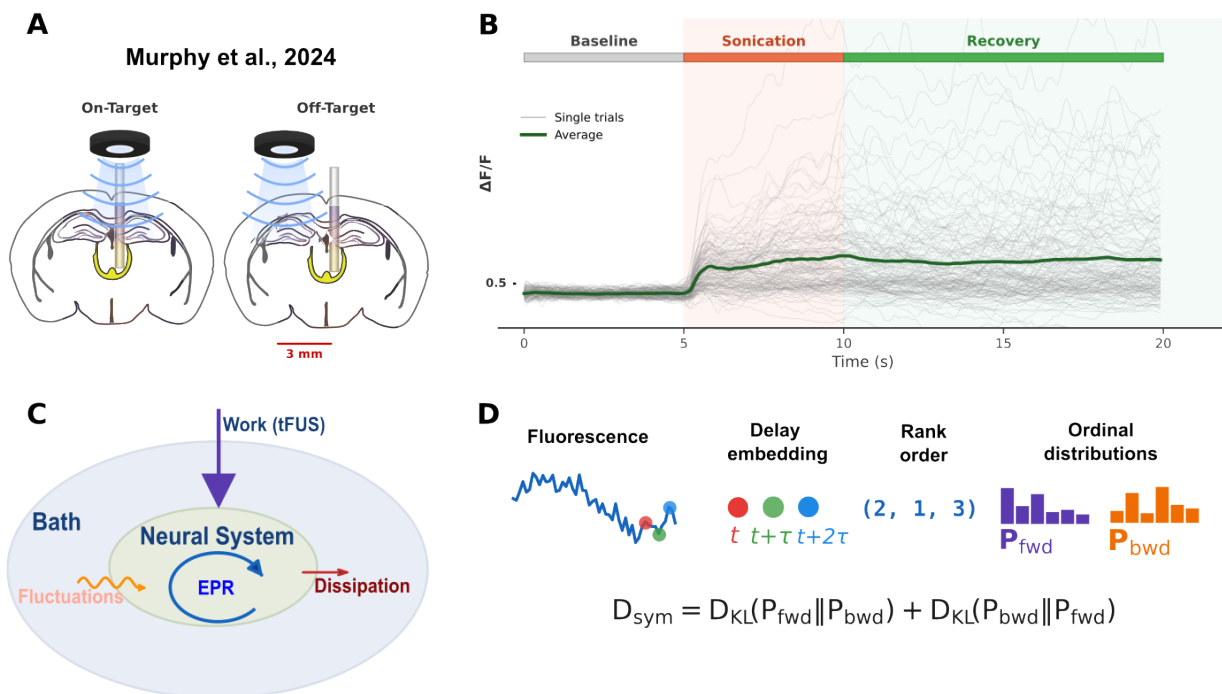


Figure 1: **A stochastic thermodynamics framework for investigating neural responses to focused ultrasound.** (A) Experimental setup from Murphy et al. [36]. A scalp transducer (550 kHz) delivered tFUS to the central medial thalamus (CMT) of freely moving GCaMP-expressing mice while neural activity was recorded via fiber photometry. Stimulation was applied on-target (directly above the CMT) and off-target (3 mm lateral offset). (B) Fluorescence traces. Each trial consisted of a 5 s baseline, 5 s sonication, and recovery period (first 10 s analysed). Gray traces show individual trials; the dark green trace shows the trial average. (C) Stochastic thermodynamics framework. tFUS is modeled as an external work input to a neural system that is already far from thermodynamic equilibrium and weakly coupled to an effective bath. The resulting stochastic dynamics reflect bath-driven fluctuations, internal entropy production, and heat dissipation back to the environment. (D) Ordinal EPR estimation pipeline. The calcium signal is delay-embedded, and each embedding vector is mapped to its ordinal pattern. The symmetrised Kullback–Leibler divergence between the forward and backward ordinal distributions yields D_{sym} , an estimator of the EPR.

71 after tFUS (“recovery”; Figure 1B). Pulsed tFUS (pulse repetition frequency PRF = 2.5 Hz; carrier
 72 frequency 550 kHz) was applied either to the recorded region (“on-target”) or to the area 3 mm
 73 laterally adjacent to the CMT (“off-target”; Figure 1A). The acoustic intensity spanned six values
 74 ranging from 0.3 to 7.4 W/cm². We estimated an ordinal surrogate of the EPR (Figure 1C-D) after
 75 pooling trials within each animal-condition (see “Pooled-trial EPR and calcium amplitude mea-
 76 sures” in *Methods*). To evaluate dose-response for both EPR and GCaMP amplitude, we employed

77 mixed-effects models with a common specification: the baseline value was included as a covariate,
78 and the predictors of each model included the condition (on-target versus off-target) and acoustic
79 intensity (both linear and quadratic terms; see Eq. 4).

80 Calcium amplitude increased monotonically with dose in the on-target condition during both
81 stimulation and recovery, while off-target fluorescence remained low across the intensity range
82 (Fig. 2C,D,F). On-target stimulation produced significantly larger calcium responses than off-
83 target in both windows (stimulation: $p < 0.001$; recovery: $p < 0.001$). On-target-specific dose
84 structure was significant during stimulation, with both the linear ($\beta_{\text{on} \times I} = +7.57$, $p = 0.006$) and
85 quadratic ($\beta_{\text{on} \times I^2} = -48.3$, $p = 0.010$) interaction terms reaching significance, consistent with a
86 monotonic increase that saturates at higher intensities. During recovery, calcium amplitude showed
87 only a linear dependence on intensity ($\beta_{\text{on} \times I} = +16.34$, $p < 0.001$; quadratic $p = 0.746$).

88 Temporal irreversibility showed a qualitatively different dose dependence. In the on-target
89 condition (Fig. 2A,E), both the stimulation and recovery windows showed the largest changes from
90 baseline at moderate intensities (2.3–3.7 W/cm²). Interestingly, the off-target condition (Fig. 2B,E)
91 also showed a non-monotonic irreversibility response (albeit milder) despite the absence of a strong
92 fluorescence signal, hinting that sonication can alter dynamics in the absence of an amplitude
93 change.

94 During stimulation, we did not resolve an on-target main effect for EPR ($p = 0.690$), nor
95 did we find significant condition-intensity interactions ($p = 0.216$ and $p = 0.872$ for the linear
96 and quadratic interactions, respectively). The absence of a significant on-target contrast during
97 stimulation is consistent with the observation that *off-target* sonication also elevated EPR in this
98 window (Fig. 2B,E). In recovery, by contrast, on-target EPR was significantly higher than off-
99 target ($p < 0.001$), and both on-target-specific dose interaction terms were significant ($\beta_{\text{on} \times I} =$
100 -0.265 , $p = 0.002$; $\beta_{\text{on} \times I^2} = -1.550$, $p = 0.006$). Note that a negative regression coefficient on
101 the quadratic dose term is consistent with an inverted-U response. Overall, the effect of on-target
102 stimulation on EPR emerges immediately after sonication. Table 1 summarises the on-target-
103 specific terms for both readouts and both windows.

Table 1: Dose-response relationships for calcium amplitude and EPR. Each readout was modelled with a mixed-effects model following Eq. 4. Only the on-target main effect and its dose interactions are shown; these terms capture on-target-specific effects of acoustic intensity on the corresponding outcome measure. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

Window	Term	EPR (D_{sym})				GCaMP (fluorescence)			
		$\hat{\beta}$	SE	z	p	$\hat{\beta}$	SE	z	p
Stim.	on-target	+0.027	0.069	0.40	.690	+7.63	0.86	8.87	<.001***
	on-target $\times I_c$	-0.329	0.266	-1.24	.216	+7.74	3.15	2.46	.014*
	on-target $\times I_c^2$	-0.286	1.774	-0.16	.872	-47.7	21.7	-2.20	.028*
Rec.	on-target	+0.108	0.022	4.80	<.001***	+6.78	1.09	6.24	<.001***
	on-target $\times I_c$	-0.265	0.085	-3.12	.002**	+16.5	3.96	4.17	<.001***
	on-target $\times I_c^2$	-1.550	0.569	-2.72	.006**	-5.15	27.3	-0.19	.850

104 **EPR dose-response is consistent across animals.** The inverted-U EPR dose-response pattern
 105 during recovery was clearly visible in 4 of 5 animals, with the peak change from baseline (ΔD_{sym})
 106 occurring between 1.2 and 3.7 W/cm² (Fig. S1). The pattern was not observed with off-target
 107 stimulation (Fig. S2). Thus, the quadratic group-level effect was not driven by a single strong
 108 “responder” and was specific to sonication of the CMT.

109 **No significant GCaMP response at 20 Hz PRF** Murphy et al. [36] reported an offline inhibitory
 110 effect when stimulating the CMT with a higher PRF of 20 Hz. We were not able to resolve a main
 111 effect of on-target stimulation on calcium amplitude during stimulation ($p = 0.676$) or recovery
 112 ($p = 0.821$), finding instead that the change in GCaMP remained positive (Fig. S3). We discuss
 113 potential reasons for this discrepancy in the Discussion. The corresponding analysis of EPR did not
 114 resolve a significant main effect of on-target sonication during stimulation ($p = 0.940$), although a
 115 nominally significant EPR *reduction* was present during recovery ($p = 0.044$).

116 2.2 Irreversibility cannot be explained by calcium amplitude alone

117 Figure 3 depicts the change from baseline for both EPR and GCaMP, shown separately during
 118 (panel A) and after (panel B) stimulation. The sharp falloff of irreversibility at higher acoustic
 119 intensities, especially during recovery, suggests that EPR is capturing a distinct aspect of CMT

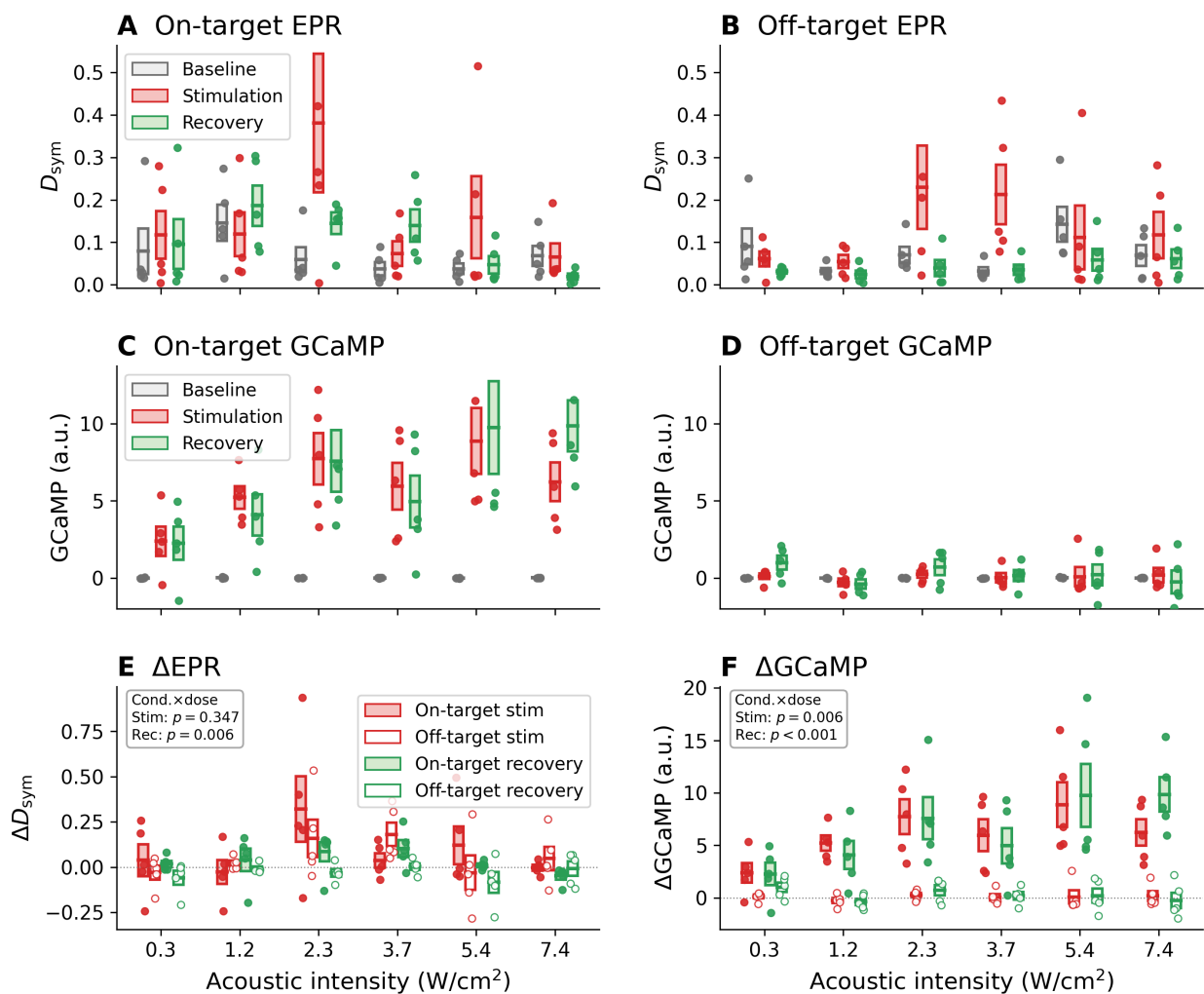


Figure 2: Distinct dose-response of temporal irreversibility and calcium amplitude. We measured the change in both EPR and GCaMP during and immediately after 2.5 Hz sonication of the central medial thalamus (CMT). Stimulation was delivered on-target and with a 3 mm offset (off-target) between tFUS and GCaMP acquisition. **(A,B)** EPR (D_{sym}) in the on-target **(A)** and off-target **(B)** conditions during baseline (grey), stimulation (red), and recovery (green). The largest deviations from baseline are found at moderate intensities. Interestingly, the non-monotonic dose-response is also apparent (but muted) during off-target stimulation, despite the absence of a corresponding calcium response. **(C,D)** GCaMP amplitude in the on-target **(C)** and off-target **(D)** conditions. Unlike EPR, GCaMP shows a largely monotonic dose-response, with no response during off-target sonication. **(E)** Baseline-subtracted EPR (ΔD_{sym}), shown for on-target/off-target stimulation and recovery. Contrast between on- and off-target is largest in the recovery period. **(F)** Baseline-subtracted GCaMP ($\Delta GCaMP$). The contrast between on- and off-target increases with intensity. Boxes show the mean ± 1 SEM across animals; points denote individual animals.

120 activity. We thus measured the correlation between the two assays of neural response – ΔD_{sym} and
 121 $\Delta GCaMP$ – during and immediately after on-target tFUS (Fig. 3C-D, respectively).

122 During stimulation, the changes from baseline were positively correlated ($r = 0.58$, $p < 0.001$),
123 even after conditioning the correlation on intensity ($r_{\text{partial}} = 0.63$, $p < 0.001$). During recovery,
124 however, the correlation vanished ($r = 0.02$, $p = 0.927$; $r_{\text{partial}} = 0.16$, $p = 0.409$), despite the
125 fact that both EPR and GCaMP are significantly different from baseline levels during the recovery
126 period (Table 1).

127 To test whether recovery EPR (Fig. 4B) carries dose information that calcium amplitude cannot
128 account for, we fit a set of mixed models (see “EPR beyond calcium amplitude” in *Methods*) where
129 the base (reduced) model captures the dependence of EPR on its baseline value *and* the observed
130 change in GCaMP (Fig. 4A). Adding linear and quadratic dose terms significantly increased model
131 fit (on-target stimulation trials only; $\chi^2(2) = 13.55$, $p = 0.001$), indicating the presence of dose
132 structure beyond calcium amplitude. When pooling on-target and off-target trials and adding dose
133 interaction terms, model fit was further improved ($\chi^2(3) = 27.23$, $p < 0.001$). Importantly, the
134 ΔGCaMP covariate was not significant ($\beta = -0.006$, $p = 0.642$) in the full model, indicating that
135 the level of irreversibility during recovery is not associated with the change in calcium amplitude.

136 We calculated a residual EPR by regressing out its baseline and the associated change in
137 GCaMP amplitude. The residualized EPR is shown as a function of dose in Fig. 4C. In the on-
138 target condition, the residual is positive from 0.3 to 3.7 W/cm² but negative at 5.4 and 7.4 W/cm².
139 In other words, high-dose sonication produced less irreversibility than expected from its calcium-
140 amplitude response.

141 We repeated the hierarchical mixed model analysis for the EPR observed during the *stimula-*
142 *tion* period (Fig. S4), and again found that dose improved fit beyond baseline EPR the change in
143 GCaMP (on-target only; $\chi^2(2) = 7.28$, $p = 0.026$). As in recovery, the model fit was significantly
144 improved with the addition of on-target versus off-target terms ($\chi^2(3) = 15.27$, $p = 0.002$). How-
145 ever, unlike recovery, the ΔGCaMP covariate was statistically significant ($\beta = +0.181$, $p < 0.001$).

146 In summary, after accounting for baseline value and the change in calcium amplitude, EPR
147 still retains significant dose structure, indicating that sonication reshapes recovery dynamics be-
148 yond what amplitude alone would predict. Complete likelihood-ratio test statistics are provided in

149 Table S1.

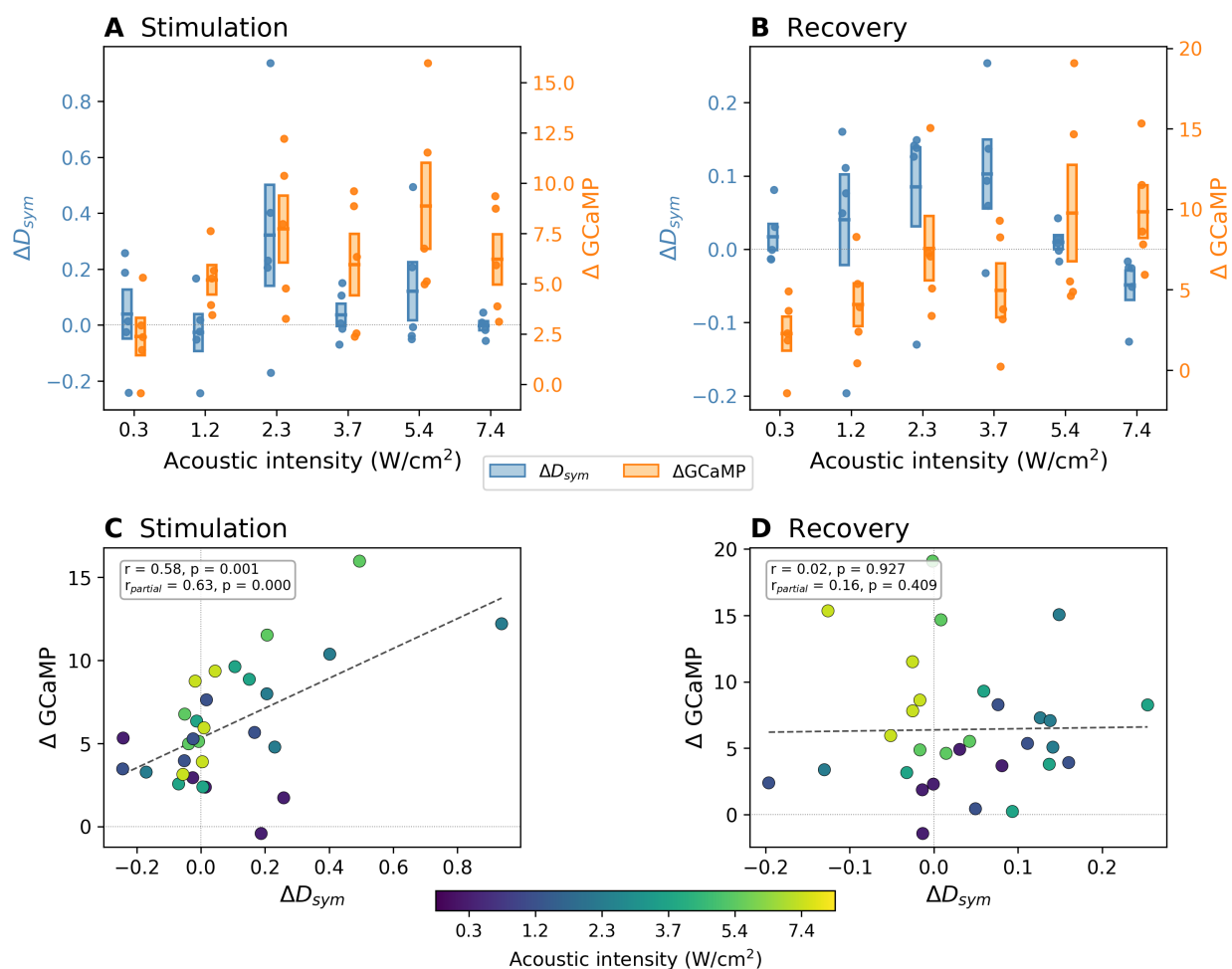


Figure 3: **Dissociation between irreversibility and calcium amplitude immediately after sonication.** (A) Dual-axis overlay of on-target ΔD_{sym} (blue, left axis) and $\Delta GCaMP$ (orange, right axis) during stimulation. (B) Same as (A) but now shown for the recovery period. The change in EPR peaks at moderate intensities while calcium amplitude is largely monotonic with dose. Error bars: ± 1 SEM. (C) Scatter of ΔD_{sym} versus $\Delta GCaMP$ during stimulation (each marker corresponds to one animal \times intensity combination, $n = 30$). Raw and partial correlations are both positive ($r = 0.58$, $p < 0.001$; $r_{\text{partial}} = 0.63$, $p < 0.001$). (D) During recovery, the correlation vanishes ($r = 0.02$, $p = 0.927$; $r_{\text{partial}} = 0.16$, $p = 0.409$), indicating that post-sonication EPR is decoupled from fluorescence amplitude despite both measures being significantly elevated relative to baseline.

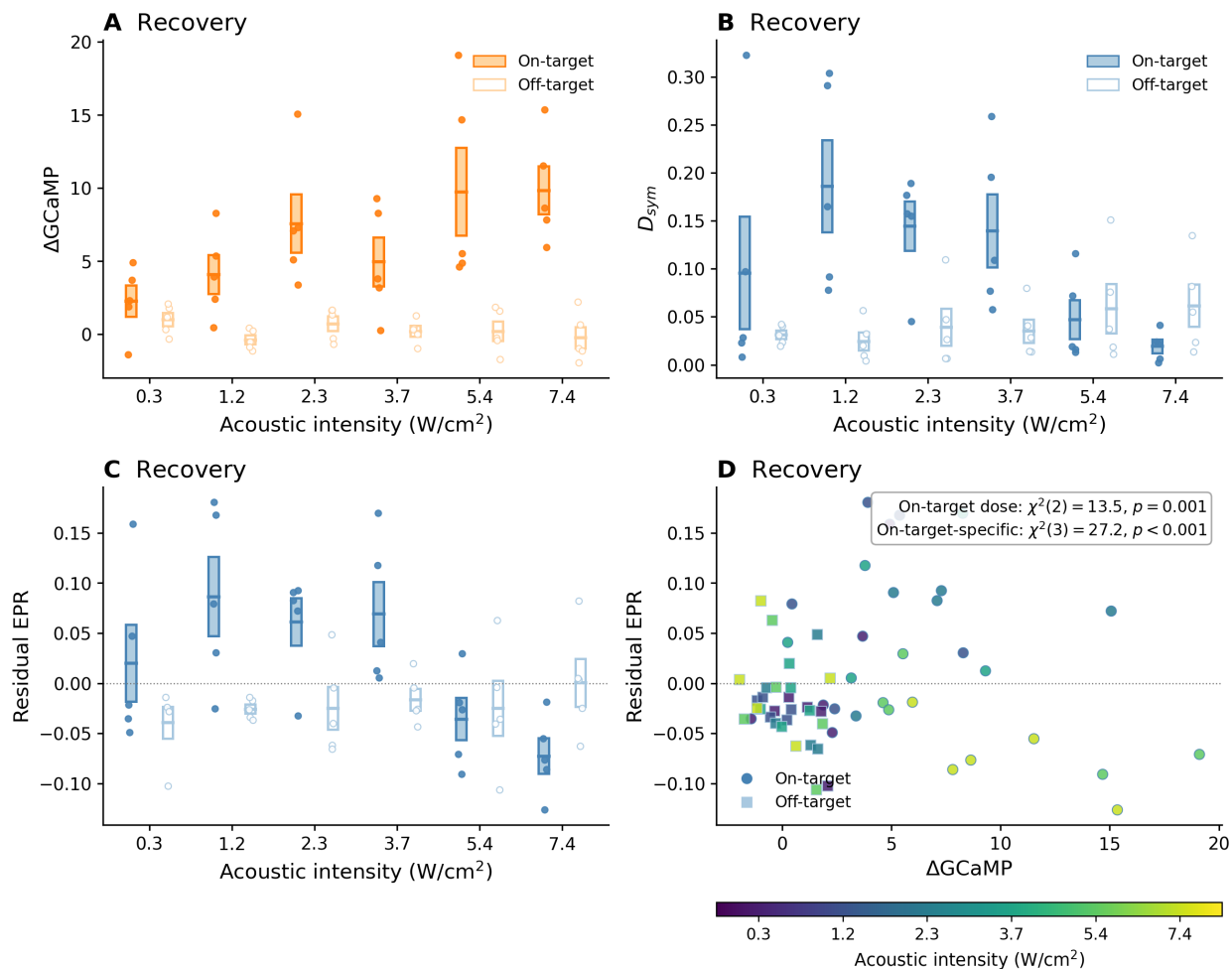


Figure 4: EPR cannot be explained by calcium amplitude. To identify whether changes in EPR can be accounted for by concomitant changes in calcium amplitude, we analyzed the relationship between the change in GCaMP (recovery versus baseline), baseline irreversibility, and the irreversibility measured during recovery. **(A)** The change in GCaMP after sonication (ΔGCaMP) rises strongly with dose after on-target tFUS. **(B)** The EPR after sonication (D_{sym}) peaks at intermediate intensity and falls off at higher doses. **(C)** The residual EPR after regressing out ΔGCaMP and baseline EPR: the residual is positive at low-to-moderate on-target doses and negative at the highest on-target doses. Error bars: ± 1 SEM across animals. **(D)** Residual EPR versus ΔGCaMP , coloured by intensity. We fit a set of mixed models to determine whether the residual EPR has a dose-dependence, and if so, whether the dose-dependence is specific to on-target sonication. Within the on-target condition, dose remained significant after accounting for baseline EPR and ΔGCaMP ($\chi^2(2) = 13.55, p = 0.001$). Allowing dose terms to differ between on-target and off-target further improved fit ($\chi^2(3) = 27.23, p < 0.001$).

150 **2.3 Prestimulation irreversibility predicts stimulation responsiveness**

151 We asked whether the prestimulation level of temporal irreversibility – a single-trial marker of
152 neural state – predicted the magnitude or direction of the response to tFUS. Both EPR and GCaMP
153 responses were computed at the single-trial level for baseline, stimulation, and recovery windows
154 across all six acoustic intensities ($n = 408$ trials for 2.5 Hz PRF).

155 **Baseline state modulates stimulation EPR** To test whether baseline irreversibility influences
156 the subsequent change in EPR during or after sonication, we partitioned trials into terciles based
157 on their prestimulation EPR. Trials were pooled across intensities and condition such that we con-
158 sidered both on-target and off-target stimulation of the CMT. We then fit a mixed-effects model
159 with baseline-EPR tercile, condition, and their interaction as fixed effects, with a random inter-
160 cept for animal (see “Baseline-state mixed-effects models” in *Methods*). During stimulation, we
161 found a significant baseline-state \times condition interaction ($\chi^2(2) = 11.49$, $p = 0.003$), indicating
162 that the relationship between baseline EPR and the subsequent change differs significantly when
163 stimulating the recorded region (Figure 5A). More specifically, on-target stimulation generated a
164 larger increase in EPR when prestimulation irreversibility was *lower*. In recovery, the correspond-
165 ing interaction was absent ($\chi^2(2) = 1.05$, $p = 0.592$; Figure 5B), indicating that the baseline-state
166 dependence of EPR is concentrated in the stimulation period rather than persisting into recovery.

167 **Baseline EPR modulates the change in GCaMP.** We also investigated whether prestimula-
168 tion irreversibility predicts the change in *GCaMP* during and after stimulation (see “Baseline-state
169 mixed-effects models” in *Methods*). During stimulation, we found a significant interaction be-
170 tween baseline EPR and condition ($\chi^2(2) = 9.19$, $p = 0.010$), indicating that the baseline EPR
171 dependence of the calcium response differed between on-target and off-target tFUS. In recovery,
172 the corresponding interaction was not significant ($p = 0.113$). Baseline EPR also contributed
173 to overall GCaMP variability beyond condition and intensity, reaching significance in recovery
174 ($\chi^2(2) = 6.45$, $p = 0.040$) and trending similarly during stimulation ($\chi^2(2) = 5.56$, $p = 0.062$).

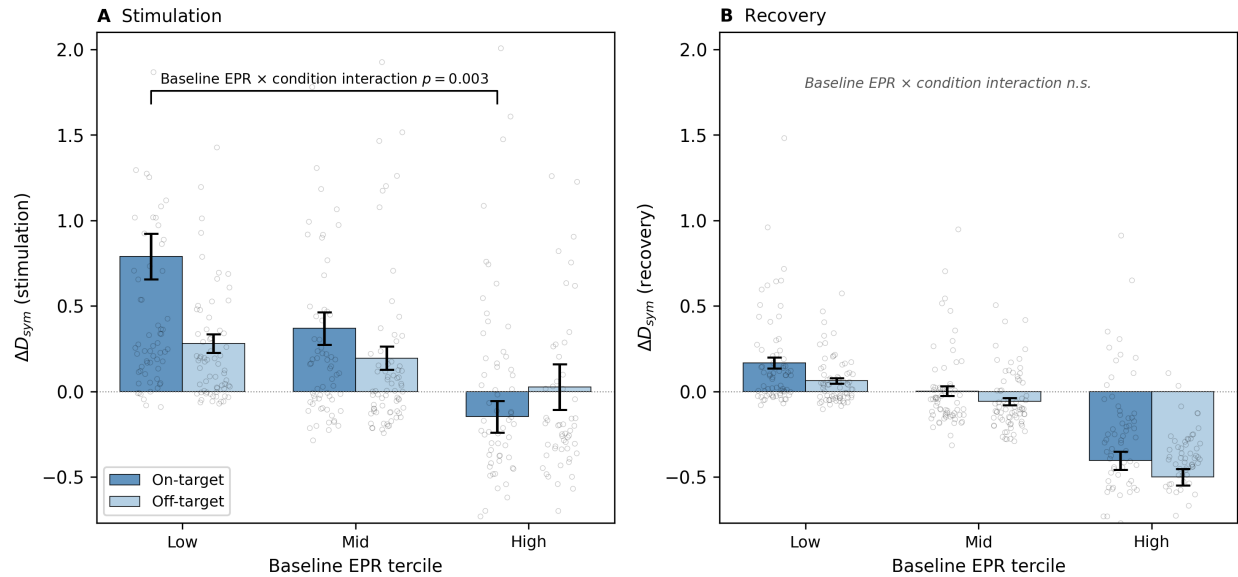


Figure 5: Baseline irreversibility modulates EPR response during but not after stimulation. Vertical axes show the change in irreversibility for on-target (dark blue) and off-target (light blue) trials pooled across all six acoustic intensities and stratified by baseline EPR tercile. **(A)** During stimulation, the change in EPR is significantly modulated by baseline irreversibility (baseline-EPR \times condition interaction: $p = 0.003$). Trials with lower prestimulation EPR respond more strongly to tFUS in a target-specific manner. **(B)** In contrast, the change in EPR measured during recovery is not significantly modulated by baseline irreversibility ($p = 0.592$). Error bars: ± 1 SEM.

175 Figure 6C,D shows the corresponding adjusted means: on-target responses are largest in the low-
176 baseline tercile and reduced in the high-baseline tercile, whereas off-target responses remain near
177 zero across strata.

178 To quantify the incremental predictive value of baseline EPR, we fit trial-level on-target-only
179 mixed models in which GCaMP was predicted from baseline GCaMP, acoustic intensity (linear
180 and quadratic), and a random animal intercept (see “Incremental prediction of GCaMP by baseline
181 EPR” in *Methods*). Adding baseline EPR to that model significantly improved fit during stimu-
182 lation ($\chi^2(1) = 5.04$, $p = 0.025$; $\beta = -2.78$, $\Delta R^2 = 0.020$) and during recovery ($\chi^2(1) = 4.17$,
183 $p = 0.041$; $\beta = -3.54$, $\Delta R^2 = 0.017$). In both windows, the sign was negative: higher prestimula-
184 tion irreversibility predicted a weaker calcium response after controlling for baseline calcium and
185 dose. Figure 6 shows this relationship via added-variable (partial regression) plots and adjusted
186 tercile means.

187 Thus, baseline EPR predicts overall response magnitude, with lower-baseline trials showing
188 larger calcium responses on average – a relationship that holds after adjusting for baseline GCaMP
189 and acoustic intensity.

190 **2.4 Sensitivity to ordinal parameters**

191 Because ordinal EPR depends on the embedding dimension m and delay τ , we tested the influence
192 of parameter choices on our findings. For the main analysis presented above, we selected $m = 3$ to
193 minimize the dimensionality of the forward and reverse probabilities, which increase with $m!$. The
194 value for the delay $\tau = 8$ was selected from a split-half reliability sweep of baseline irreversibility
195 across $\tau = \{2, 4, 6, 8\}$.

196 The core findings were preserved with $(m, \tau) = (3, 6)$. During recovery, EPR dose-response
197 showed a significantly negative quadratic dose relationship ($\beta_{I^2} = -1.90$, $p = 0.032$). The EPR
198 during recovery was shown to contain information beyond that of calcium amplitude (on-target-
199 specific dose: $\chi^2(3) = 17.50$, $p = 5.6 \times 10^{-4}$; on-target-only dose: $\chi^2(2) = 8.75$, $p = 0.013$;
200 ΔGCaMP covariate remained null: $p = 0.992$). Baseline EPR significantly influenced the subse-
201 quent change in both EPR (baseline-EPR \times condition interaction: $\chi^2(2) = 7.00$, $p = 0.030$) and
202 GCaMP amplitude ($\chi^2(2) = 6.70$, $p = 0.035$) during stimulation.

203 The main claims were also largely preserved with a higher-dimensional embedding, namely
204 $(m, \tau) = (4, 8)$. The EPR during recovery exhibited a significant quadratic dose dependence
205 ($\beta_{I^2} = -2.12$, $p = 0.015$), and both on-target-specific recovery dose terms remained significant
206 ($\beta_{\text{on} \times I} = -0.39$, $p = 0.002$; $\beta_{\text{on} \times I^2} = -2.12$, $p = 0.015$). Moreover, calcium amplitude could
207 not explain the EPR measured during recovery (on-target-specific dose: $\chi^2(3) = 24.59$, $p =$
208 1.9×10^{-5} ; on-target-only dose: $\chi^2(2) = 11.07$, $p = 0.0040$; ΔGCaMP covariate again remained
209 null: $p = 0.911$). Baseline EPR predicted the subsequent change in irreversibility during sonica-
210 tion in a target-specific manner ($\chi^2(2) = 12.59$, $p = 0.0018$). Baseline irreversibility tended to
211 also predict the associated change in calcium amplitude during sonication, although the effect did
212 not reach significance ($p = 0.105$).

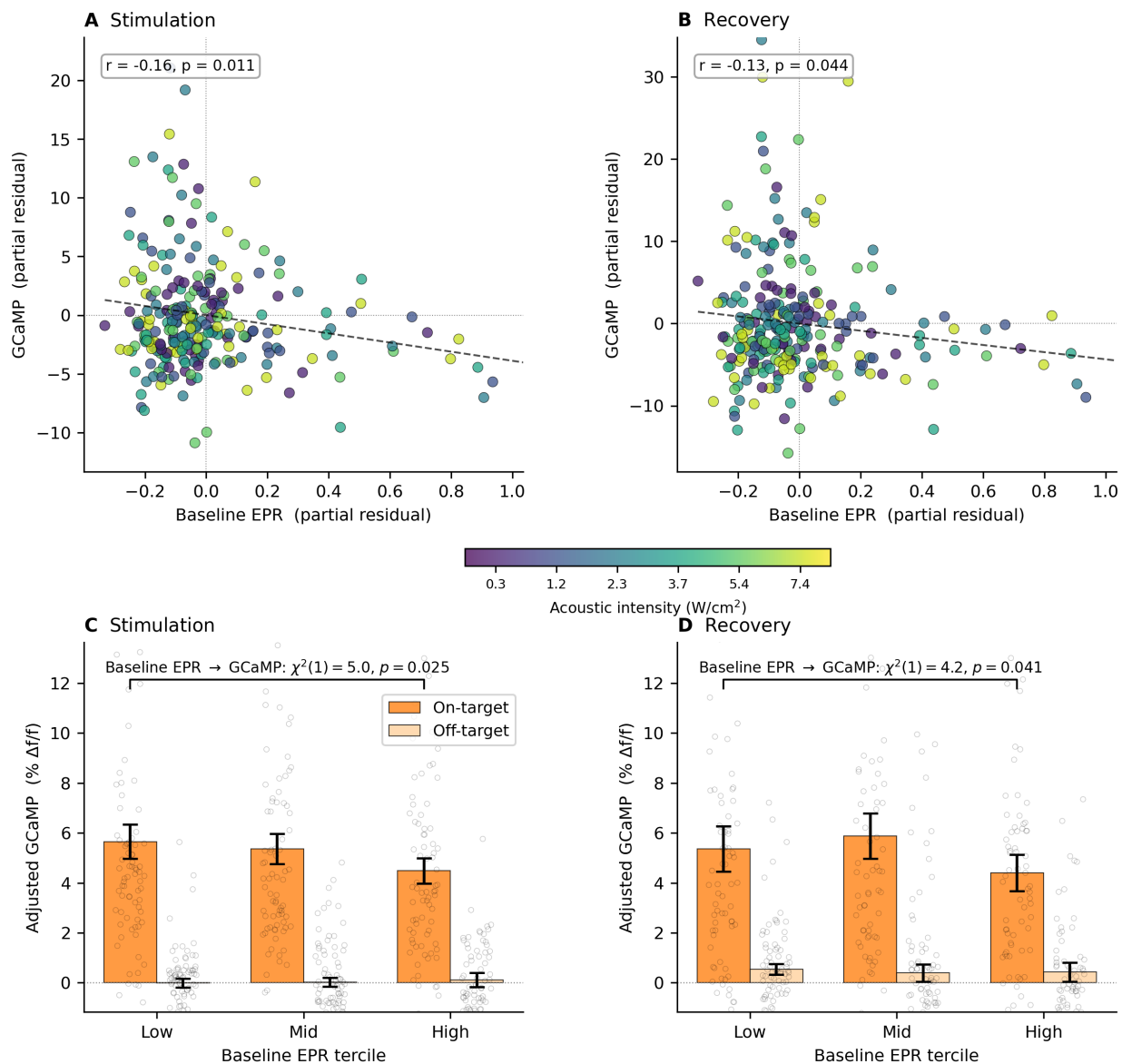


Figure 6: Baseline EPR predicts GCaMP response beyond baseline GCaMP and intensity. (A,B) Added-variable (partial regression) plots for stimulation (A) and recovery (B), shown for on-target trials only. Each point is a single trial coloured by acoustic intensity; axes show residuals after removing baseline GCaMP, intensity, and animal random effects from both baseline EPR (x-axis) and GCaMP (y-axis). The negative slope indicates that higher prestimulation irreversibility predicts weaker calcium responses. (C,D) Mean adjusted GCaMP (residualized for baseline GCaMP and intensity) by baseline-EPR tercile during stimulation (C) and recovery (D) for on-target (dark orange) and off-target (light orange) conditions. After removing the effects of baseline amplitude and dose, on-target responses still decrease with baseline EPR (likelihood-ratio test for adding baseline EPR: stimulation $\chi^2(1) = 5.04, p = 0.025$; recovery $\chi^2(1) = 4.17, p = 0.041$). Error bars: ± 1 SEM.

213 3 Discussion

214 By employing tools from stochastic thermodynamics, this study found that low-intensity focused
215 ultrasound perturbs brain activity in a manner that is not captured by conventional amplitude-based
216 readouts. The GCaMP signal recorded via fiber photometry reflects aggregate calcium dynamics
217 across the illuminated neural population, encompassing both somatic and neuropil compartments
218 [9, 26]. When stimulating the CMT with tFUS, the amplitude of the GCaMP signal increased
219 monotonically with the intensity of the acoustic stimulus [36] (Fig. 2). By contrast, the EPR
220 showed a non-monotonic dose-response that peaked at moderate intensity (Figure 2A,B,E), a pat-
221 tern that was visible in both on-target and off-target conditions.

222 **An inverted-U dose–response for temporal irreversibility.** The inverted-U pattern of EPR is
223 reminiscent of nonlinear dose-response relationships that have been documented across several
224 forms of brain stimulation and, more broadly, across biology. The classical Yerkes–Dodson law
225 posits that behavioural performance peaks at intermediate levels of arousal or stimulus intensity
226 [52], and the hormesis literature documents biphasic dose-response curves in which low doses
227 stimulate and high doses inhibit across a wide range of biological measures [8]. In transcranial
228 direct-current stimulation, moderate current densities produce the strongest effects on cortical ex-
229 citability and cognition, whereas higher intensities can reverse or abolish these effects [2, 15].
230 Deep brain stimulation of the central thalamus likewise enhances working memory at low currents
231 and impairs it at higher currents [33]. The concept of stochastic resonance – where an intermedi-
232 ate level of noise optimally enhances signal detection in a nonlinear system – provides a formal
233 framework for understanding such inverted-U phenomena [19, 35]. The findings here point to the
234 possibility that the brain’s response to exogenous energy input is fundamentally nonlinear, with an
235 intermediate regime in which stimulation most effectively engages endogenous dynamics.

236 For ultrasound, one interpretation is that moderate acoustic doses perturb the system enough
237 to displace it from its resting attractor without overwhelming the endogenous dynamics that gen-
238 erate temporal irreversibility. At higher intensities, the exogenous drive may dominate the local

239 dynamics – producing large calcium transients – while constraining the system to a more stereo-
240 typed, less dissipative trajectory. In the language of nonequilibrium physics, moderate sonication
241 may optimally couple to the system’s internal degrees of freedom, generating the greatest depar-
242 ture from time-reversal symmetry. By contrast, strong sonication may effectively “entrain” the
243 population [21, 41], increasing the amplitude of the response while reducing its dynamical com-
244 plexity. Although speculative, this framing makes the testable prediction that the peak of the EPR
245 dose-response should shift with parameters that alter the balance between exogenous drive and
246 endogenous dynamics, such as pulse repetition frequency or duty cycle.

247 **The post-sonication dissociation between irreversibility and amplitude.** It is notable that the
248 dissociation between GCaMP and EPR is most pronounced immediately *after* sonication (Fig-
249 ure 3B,D; Figure 4). During this period, the system is reorganising after perturbation, and both
250 measures remain elevated above their respective baselines. The fact that the two measures are
251 uncorrelated during this window (Figure 3D) indicates that amplitude and irreversibility reflect
252 distinct aspects of the region’s relaxation. In other words, the path by which the system relaxes
253 after perturbation carries information that is invisible to the amplitude readout. Moderate-intensity
254 sonication may push the system into a region of state space from which the return to baseline in-
255 volves the greatest thermodynamic cost – the largest entropy production — whereas high-intensity
256 stimulation, despite producing larger fluorescence changes, may push the system along a more
257 direct, less dissipative relaxation path.

258 **Off-target stimulation elevates irreversibility without a calcium response.** An interesting as-
259 pect of the present findings is that stimulating a region 3 mm adjacent to the site of the recording
260 fiber produced a clear inverted-U EPR elevation during stimulation that was visible in multiple
261 animals (Figs. 2B, S2). In the mouse brain, a 3 mm offset from the CMT places the acoustic focus
262 well outside of the thalamus proper [40], yet the two sites remain within reach of common thalam-
263 ocortical and corticothalamic projection systems. It is therefore plausible that off-target sonication
264 perturbs polysynaptically connected circuits whose altered dynamics propagate to the recorded

265 CMT population. If these network-level perturbations produce changes in temporal structure with-
266 out substantially increasing the aggregate calcium flux, they would appear in the irreversibility
267 measure but not in the bulk fluorescence signal. This interpretation is consistent with the broader
268 notion that EPR is sensitive to changes in the temporal organization of activity that need not man-
269 ifest as amplitude changes. Note that for conventional neuromodulation studies, off-target stim-
270 ulation serves as an experimental control. Here, it represents an informative finding in of itself,
271 suggesting that sonication can alter the nonequilibrium character of neural dynamics at a distance,
272 and that EPR may be sensitive to low-amplitude perturbations.

273 **Baseline irreversibility as a susceptibility marker.** Another aspect of our findings suggests that
274 EPR serves as a proxy for neural “susceptibility”: trials with lower baseline irreversibility showed
275 systematically higher responses during stimulation, measured with both GCaMP and EPR itself
276 (Figs. 5, 6). This is conceptually aligned with findings in other stimulation modalities showing
277 that prestimulus brain state governs the response to transcranial stimulation. In TMS, for exam-
278 ple, cortical excitability tracks the instantaneous phase of endogenous oscillations [44], and state-
279 dependent protocols can selectively induce plasticity that is absent when stimulation is delivered
280 irrespective of brain state [4, 58]. The EPR effect – lower baseline D_{sym} predicting larger ΔD_{sym}
281 – could in principle reflect regression to the mean, since a high-baseline trial will mechanically
282 tend toward a negative change score. However, our data argue against such an artifactual explana-
283 tion. The relationship between baseline irreversibility and the subsequent change was significantly
284 modulated by whether tFUS was applied on- or off-target (Figure 5A). Moreover, baseline EPR
285 predicted the GCaMP *amplitude* response even after controlling for baseline GCaMP and acoustic
286 intensity (Figure 6). This cross-modal prediction (i.e., prestimulation irreversibility anticipates the
287 magnitude of the calcium-amplitude response) is evidence that baseline EPR captures a genuine
288 axis of stimulation susceptibility.

289 **No effects when stimulating at 20 Hz.** Murphy et al. [36] reported that 20 Hz PRF stimulation
290 of the CMT produced an offline, inhibitory calcium response. While our analysis of 2.5 Hz stim-

291 ulation was highly congruent with that of Murphy et al. [36], we did not find significant changes
292 in either calcium amplitude or EPR at a PRF of 20 Hz (Supplementary Fig. S3). This discrepancy
293 may stem from differences in the preprocessing that were employed in the respective studies, par-
294 ticularly the approaches used to correct for motion artifacts. The finding that 20 Hz stimulation did
295 not modulate irreversibility is consistent with the interpretation that the 20 Hz protocol elicited an
296 overall weaker neuromodulatory effect compared to 2.5 Hz.

297 **Limitations: thermodynamic interpretation.** Our employment of an ordinal surrogate of EPR
298 (D_{sym} ; see Eq. 2) draws on the formal connection between time-reversal asymmetry and dissi-
299 pation in nonequilibrium statistical physics. The foundational result – that the Kullback–Leibler
300 divergence between forward and reverse trajectory probabilities equals the mean entropy produc-
301 tion – was established for Markovian systems coupled to a thermal bath [11, 22, 47] and extended
302 to discrete-state systems [16, 42, 43]. Practical estimators that detect broken detailed balance from
303 time-series data have extended the applicability of this framework [34, 46]. Broken detailed bal-
304 ance has been identified at mesoscopic scales in active biological matter [3, 20]. Applications to
305 neural data have shown that human brain dynamics violate detailed balance at large spatial scales,
306 with the degree of irreversibility tracking arousal state and cognitive load [12, 31, 32, 45].

307 However, neural tissue violates the assumptions of these theorems in several ways. The GCaMP
308 signal reflects calcium dynamics filtered through an indicator with slow kinetics. The system has
309 multiple chemical reservoirs, and the ordinal embedding captures only a low-dimensional projec-
310 tion of the underlying state space. The symmetrised KL divergence used here is therefore best
311 understood as a nonparametric measure of time-arrow asymmetry in the observed signal: a quan-
312 tity that is related to, but not identical with, the thermodynamic EPR of the underlying biophysical
313 system. Nevertheless, our results indicate that this time-asymmetry measure carries information
314 about the neural response to sonication that is inaccessible to conventional amplitude readouts.

315 **Limitations: EPR estimation.** EPR estimation from noisy biological time series is intrinsically
316 challenging. Any KL-based irreversibility estimator depends on the embedding parameters and on

317 finite-sample bias, especially in short windows. We employed an ordinal-based method because
318 it is nonparametric, insensitive to monotone rescalings of the signal, and naturally suited to short
319 segments [1, 53]. Alternative approaches to estimating entropy production from time series include
320 visibility-graph irreversibility [24], neural-network classifiers trained on the arrow of time [46],
321 model-based estimators that infer dissipation from partially observed Langevin dynamics [34],
322 and current-based methods that quantify dissipation from fluctuating probability currents [28]; a
323 recent review provides a systematic comparison [54]. Analytical treatments of EPR in neural-field
324 models have also begun to connect irreversibility to network parameters [30].

325 **Broader implications.** Nonequilibrium statistical physics offers a language for asking how an
326 exogenous stimulus does work on a living system that is already far from thermodynamic equilib-
327 rium. In this framework, sonication does not merely increase or decrease neural activity; it can also
328 reshape the path which the system takes during and after perturbation. The present results suggest
329 that moderate doses may drive the system into a regime with greater temporal irreversibility than
330 would be expected from fluorescence amplitude alone, whereas higher doses can produce large
331 calcium increases with less irreversibility. Future work could test this more directly by combin-
332 ing time-asymmetry markers with electrophysiology and metabolic imaging to establish whether
333 irreversibility changes co-occur with shifts in biophysical dissipation, and by testing closed-loop
334 stimulation designs that target different baseline states [29, 57]. More generally, our findings sug-
335 gest that stochastic thermodynamics serves as a valuable complement to conventional amplitude-
336 based readouts in neuromodulation, as it captures the interaction between exogenous energy and
337 the brain's ongoing nonequilibrium dynamics.

338 4 Methods

339 4.1 Dataset

340 We analysed fiber photometry recordings from the publicly available dataset of Murphy et al.
341 [36], which investigated the neuromodulatory effects of transcranial focused ultrasound (tFUS)
342 in the mouse deep brain. Data was accessed via [https://dataverse.harvard.edu/dataset.](https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/PCWRAD)
343 [xhtml?persistentId=doi:10.7910/DVN/PCWRAD](https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/PCWRAD). In brief, adult C57BL/6J mice were injected
344 with adeno-associated viral vectors encoding the genetically encoded calcium indicator GCaMP6s
345 [9] under the CaMKII promoter and implanted with 200 μm -diameter optical fibers targeting the
346 thalamic central medial nucleus (CMT). Ultrasound was delivered through the intact skull using
347 a wearable, miniaturised ring transducer operating at a fundamental frequency of 550 kHz with
348 a lateral focal spot of ~ 2.3 mm (full-width at half-maximum). The transducer was chronically
349 mounted on a 3D-printed head frame, enabling repeated stimulation sessions in freely moving
350 animals.

351 Dual-wavelength fiber photometry was performed using interleaved 470 nm (calcium-dependent
352 GCaMP6s excitation) and 405 nm (calcium-independent isosbestic) illumination, providing a noise
353 reference for correcting motion and hemodynamic artifacts. Data was sampled at 32 Hz. Each
354 recording session comprised multiple trials at six output levels corresponding to reported spatial-
355 peak pulse-average acoustic intensities of 0.3, 1.2, 2.3, 3.7, 5.4, and 7.4 W/cm^2 . A trial consisted
356 of a 5-second prestimulation baseline, a stimulation epoch (5 s when stimulating at 2.5 Hz PRF;
357 40 s at 20 Hz PRF), and a post-stimulation recovery period such that the total length of each trial
358 was 180 s. For our analysis, we retained only the first 10 second of the recovery period.

359 Stimulation was conducted on-target (sonication directed at the recorded CMT region) and
360 separately off-target (sonication of the area 3 mm laterally adjacent to the CMT; Fig. 1A). For each
361 configuration, two pulse repetition frequencies (PRFs) were tested: 2.5 Hz and 20 Hz. Murphy
362 et al. [36] reported a strong dose-dependent GCaMP increase with 2.5 Hz and a milder offline
363 inhibition at 20 Hz. The data analyzed in the present study comprised 5 on-target and 5 off-

364 target animals at 2.5 Hz (210 on-target and 198 off-target trials total) and 5 on-target and 4 off-
365 target animals at 20 Hz (216 on-target and 168 off-target trials total). Note that we excluded one
366 animal from analysis (“cmtb3”) as it did not show any GCaMP response during or after stimulation
367 (Supplementary Figs. S6–S7).

368 4.2 Preprocessing

369 Each trial was preprocessed independently using a three-step procedure. First, the isosbestic
370 (405 nm) reference channel was regressed out of the GCaMP signal using ordinary least-squares
371 regression *fit to the prestimulation baseline period only*, such that we did not inadvertently remove
372 stimulation-evoked responses. The regression included an intercept term, and the fitted model was
373 applied to the full trial to remove motion and hemodynamic artifacts common to both channels.
374 Next, a linear trend was fit to the full trial-length residual and subtracted to remove slow drift.
375 Finally, the detrended signal was z-scored using the mean and standard deviation of the prestim-
376 ulation baseline period, yielding a baseline-normalized signal in units of standard deviations. No
377 temporal smoothing was applied, preserving the full temporal structure of the signal for subsequent
378 irreversibility analysis. Note that our preprocessing differs somewhat from that of Murphy et al.
379 [36], which is relevant when comparing findings across studies.

380 4.3 Ordinal entropy production rate estimation

381 To quantify temporal irreversibility in the preprocessed calcium signal, we employed ordinal pat-
382 tern analysis [1]. This approach maps a scalar time series into a sequence of discrete symbols by
383 replacing each delay-embedded vector with its ordinal pattern (the rank ordering of its compo-
384 nents). The distribution of ordinal patterns carries information about the temporal structure of the
385 signal, and its asymmetry under time reversal provides a nonparametric estimator of the entropy
386 production rate (EPR) [42, 53, 55].

387 Specifically, for a time series segment $\{x_t\}$ of length N , we constructed delay embeddings of

388 dimension m and delay τ :

$$\mathbf{v}_t = (x_t, x_{t+\tau}, \dots, x_{t+(m-1)\tau}), \quad t = 1, \dots, N - (m-1)\tau. \quad (1)$$

389 Each embedding vector \mathbf{v}_t was mapped to one of $m!$ possible ordinal patterns by recording the
390 rank ordering of its components. The same procedure was applied to the time-reversed signal
391 $\{x_{N+1-t}\}$, yielding a backward ordinal pattern distribution. The symmetrized Kullback–Leibler
392 (KL) divergence between the forward and backward distributions served as our EPR estimator:

$$D_{\text{sym}} = D_{\text{KL}}(P_{\text{fwd}} \| P_{\text{bwd}}) + D_{\text{KL}}(P_{\text{bwd}} \| P_{\text{fwd}}), \quad (2)$$

393 where P_{fwd} and P_{bwd} denote the normalized ordinal pattern frequency distributions of the forward
394 and reversed signal, respectively, and where the KL divergence between two discrete distributions
395 P and Q over the $m!$ ordinal patterns π_i is:

$$D_{\text{KL}}(P \| Q) = \sum_{i=1}^{m!} P(\pi_i) \log \frac{P(\pi_i)}{Q(\pi_i)}. \quad (3)$$

396 Additive Laplace smoothing ($\epsilon = 10^{-6}$ per bin) was applied before normalization to avoid unde-
397 fined logarithms.

398 **Symmetrized versus strict EPR estimator.** The trajectory-level EPR is rigorously defined as the
399 one-sided KL divergence between forward and time-reversed path distributions, $D_{\text{fwd}} \equiv D_{\text{KL}}(P_{\text{fwd}} \| P_{\text{bwd}})$
400 [42, 47]. We adopted the symmetrized form D_{sym} (the Jeffreys divergence [23]) following the
401 ordinal-pattern irreversibility literature [53, 55], which employs it due to its improved finite-
402 sample stability: it spreads the cost of any zero-frequency pattern symmetrically across both
403 directions, rather than placing it asymmetrically on whichever distribution happens to lack sup-
404 port at a given element. To verify that this choice does not influence our reported inferences, we
405 re-ran the dose-response analysis of Figure 2 using D_{fwd} in place of D_{sym} . The resulting irre-

406 versibility measures D_{sym} and D_{fwd} were essentially proportional ($r > 0.99$; median $D_{\text{sym}}/D_{\text{fwd}}$
407 ratio ≈ 2.0). This is expected from the small-divergence regime in which our ordinal-pattern esti-
408 mates lie: to leading order in $\|P_{\text{fwd}} - P_{\text{bwd}}\|$, both KL directions reduce to the same functional, so
409 $D_{\text{KL}}(P_{\text{fwd}}\|P_{\text{bwd}}) \approx D_{\text{KL}}(P_{\text{bwd}}\|P_{\text{fwd}})$ and $D_{\text{sym}} \approx 2D_{\text{fwd}}$ [10, Ch. 11]. We therefore retained D_{sym}
410 as our irreversibility measure throughout.

411 **Parameter selection.** We employed $m = 3$ and $\tau = 8$ samples as the parameters for measuring
412 ordinal irreversibility. We fixed $m = 3$ to keep the ordinal alphabet at 6 states, which promotes
413 stable estimates compared to higher-dimensional embeddings. The delay parameter τ was then se-
414 lected outcome-blind from the set $\{2, 4, 6, 8\}$ using a baseline-only reliability sweep at fixed $m = 3$.
415 The delay value $\tau = 8$ exhibited the highest split-half correlation for animal-level baseline EPR and
416 was therefore adopted as the primary setting. Sensitivity analyses were conducted at neighbouring
417 delay scales and with a higher-dimensional embedding to assess robustness to alternative choices
418 (see Section 2.4).

419 **Temporal windowing.** Each trial was segmented into three temporal windows: a prestimulation
420 baseline (5 s, the maximum prestimulation time recorded by Murphy et al. [36]), stimulation (5
421 seconds for 2.5 Hz PRF; 40 s for 20 Hz PRF), and post-stimulation recovery (10 s beginning
422 immediately after sonication ends). A 0.25-second boundary trim was applied at the baseline–
423 stimulation transition to avoid edge effects.

424 **4.4 Statistical analysis**

425 We employed both animal- and trial-level analyses depending on the question being addressed.
426 For the dose-reponse and EPR versus GCaMP analyses of Figures 2, 3, and 4, irreversibility was
427 estimated after pooling trials of each animal and forming one estimate per animal. To investigate
428 the influence of baseline irreversibility on the subsequent response to stimulation (Figs. 5,6), EPR
429 was estimated separately for each trial to afford greater statistical power, trading off sample size

430 with the SNR of each individual estimate.

431 **Pooled-trial EPR and calcium amplitude measures.** For each (animal \times intensity \times condition
432 \times window) cell, we computed one D_{sym} value by pooling ordinal-pattern counts across all trials
433 belonging to that cell and then evaluating Eq. 2 on the pooled distribution (see Section 4.3). For
434 GCaMP, the dependent variable was the mean fluorescence amplitude averaged across trials within
435 the same cell. The changes from baseline were defined as $\Delta D_{\text{sym}} = D_{\text{sym}}^{\text{window}} - D_{\text{sym}}^{\text{baseline}}$ and
436 $\Delta \text{GCaMP} = \text{GCaMP}^{\text{window}} - \text{GCaMP}^{\text{baseline}}$ for EPR and calcium amplitude, respectively. We note
437 that change scores were computed primarily for visualization of effects – when conducting formal
438 inference (see next section), we opted for ANCOVA-style mixed models where baseline value was
439 included as a covariate.

440 **Mixed-effects models.** For formal inference, we fit linear mixed-effects models (LMMs) with
441 animal as a random intercept [25], using the same ANCOVA-style specification for both EPR and
442 GCaMP:

$$y_{ij}^{\text{window}} = \beta_0 + \beta_{\text{bl}} y_{ij}^{\text{baseline}} + \beta_I I_c + \beta_{I^2} I_c^2 + \beta_{\text{on}} \text{OnTarget}_{ij} \\ + \beta_{\text{on} \times I} \text{OnTarget}_{ij} I_c + \beta_{\text{on} \times I^2} \text{OnTarget}_{ij} I_c^2 + u_j + \varepsilon_{ij}, \quad (4)$$

443 where j indexes the animal, i indexes the repeated measure (one sample per intensity \times condition),
444 y denotes either D_{sym} or mean GCaMP, the superscript “window” denotes the temporal window
445 (stimulation or recovery), I_c is the centered acoustic intensity, OnTarget_{ij} is a binary indicator
446 equal to 1 for on-target and 0 for off-target, and $u_j \sim \mathcal{N}(0, \sigma_u^2)$ is the random intercept for an-
447 imal j . Including the baseline value as a covariate adjusts for variability in prestimulation state
448 while avoiding the mechanical baseline–change coupling that arises from using difference scores
449 as the outcome. The main-effect dose terms (β_I, β_{I^2}) capture any shared dose dependence across
450 conditions, while the interaction terms $\beta_{\text{on} \times I}$ and $\beta_{\text{on} \times I^2}$ test whether the linear and quadratic dose
451 dependence is specific to on-target stimulation. Models were fit using restricted maximum likeli-

452 hood (REML) with the L-BFGS solver as implemented in the `statsmodels` Python package.

453 **EPR beyond calcium amplitude.** To test whether irreversibility carries dose information beyond
454 what calcium amplitude can explain, we fit a hierarchy of three nested mixed-effects models on
455 the pooled-trial data. All three shared a random intercept for animal.

456 Model A (baseline + amplitude only) included only prestimulation EPR and the window-
457 matched fluorescence change as predictors:

$$D_{\text{sym}_{ij}}^{\text{window}} = \beta_0 + \beta_1 D_{\text{sym}_{ij}}^{\text{base}} + \beta_2 \Delta\text{GCaMP}_{z,ij} + u_j + \varepsilon_{ij}, \quad (5)$$

458 where $\Delta\text{GCaMP}_{z,ij}$ is the standardized change in mean calcium amplitude for repeated measure i
459 of animal j . Model B (shared dose) added linear and quadratic intensity terms that were common
460 to both on- and off-target conditions:

$$D_{\text{sym}_{ij}}^{\text{window}} = \beta_0 + \beta_1 D_{\text{sym}_{ij}}^{\text{base}} + \beta_2 \Delta\text{GCaMP}_{z,ij} + \beta_3 I_c + \beta_4 I_c^2 + u_j + \varepsilon_{ij}. \quad (6)$$

461 Model C (condition-specific dose) added an on-target fixed effect and further allowed the dose
462 dependence to differ between on-target and off-target stimulation:

$$D_{\text{sym}_{ij}}^{\text{window}} = \beta_0 + \beta_1 D_{\text{sym}_{ij}}^{\text{base}} + \beta_2 \Delta\text{GCaMP}_{z,ij} + \beta_3 I_c + \beta_4 I_c^2 \\ + \beta_5 \text{OnTarget}_{ij} + \beta_6 \text{OnTarget}_{ij} I_c + \beta_7 \text{OnTarget}_{ij} I_c^2 + u_j + \varepsilon_{ij}. \quad (7)$$

463 We employed likelihood-ratio (LR) tests to assess significant increases in explained variance:
464 comparing Model B to Model A tests whether acoustic dose explains any EPR variance beyond
465 baseline state and calcium amplitude change. Comparing Model C to Model B tests whether the
466 dose–EPR relationship differs between on-target and off-target stimulation. Finally, to ask whether
467 dose shapes EPR within the on-target condition alone, we refit Models A and B on the on-target
468 subset only and compared them with a third LR test.

469 4.5 Baseline neural state and response prediction

470 To test whether *prestimulation* temporal irreversibility predicts the response to sonication, we mea-
471 sured baseline EPR at the level of individual trials, focusing the analysis on the 2.5 Hz PRF record-
472 ings.

473 **Trial-level EPR and GCaMP computation.** For each trial, we computed ordinal EPR and
474 mean GCaMP amplitude separately for the baseline, stimulation, and recovery windows. Trial-
475 level change scores were defined as $\Delta D_{\text{sym}} = D_{\text{sym}}^{\text{window}} - D_{\text{sym}}^{\text{baseline}}$ and $\Delta \text{GCaMP} = \bar{x}^{\text{window}} -$
476 $\bar{x}^{\text{baseline}}$, where \bar{x} denotes the mean calcium amplitude within the specified window.

477 **Baseline-state mixed-effects models.** To test whether baseline state modulates the response to
478 tFUS, we pooled trials across acoustic intensities and discretised baseline EPR into terciles (Low,
479 Mid, High). For the EPR outcome measure, we fit a hierarchy of mixed-effects models according
480 to:

$$\text{Null: } D_{\text{sym}_{ij}}^{\text{window}} = \mu + \beta_{\text{on}} \text{OnTarget}_{ij} + \alpha_{I_{ij}} + u_j + \varepsilon_{ij}, \quad (8)$$

$$\text{Main: } D_{\text{sym}_{ij}}^{\text{window}} = \mu + \beta_{\text{on}} \text{OnTarget}_{ij} + \alpha_{I_{ij}} + \gamma_{S_{ij}} + u_j + \varepsilon_{ij}, \quad (9)$$

$$\text{Interaction: } D_{\text{sym}_{ij}}^{\text{window}} = \mu + \beta_{\text{on}} \text{OnTarget}_{ij} + \alpha_{I_{ij}} + \gamma_{S_{ij}} + \delta_{S_{ij}} \text{OnTarget}_{ij} + u_j + \varepsilon_{ij}, \quad (10)$$

481 where $\alpha_{I_{ij}}$ denotes fixed effects of acoustic intensity (entered as a categorical factor with six levels),
482 $S_{ij} \in \{\text{Low}, \text{Mid}, \text{High}\}$ is the baseline-EPR tercile, $\gamma_{S_{ij}}$ is the corresponding tercile fixed effect, $\delta_{S_{ij}}$
483 captures the tercile-by-condition interaction, and $u_j \sim \mathcal{N}(0, \sigma_u^2)$ is a random intercept for animal
484 j . LR tests compared the Main model to the Null (baseline-state main effect) and the Interaction
485 model to the Main (baseline-state \times condition interaction).

486 The choice of a categorical form for intensity reflects the non-monotonic dose-response of EPR
487 (Fig. 4B) – modeling intensity as categorical makes no assumption about the shape of the dose-
488 response and thus avoids introducing misspecification into the estimate of the quantity-of-interest,

489 namely the baseline-on-target interaction.

490 For GCaMP, the same three-model hierarchy was applied with calcium amplitude as the out-
491 come and baseline GCaMP added as a covariate to adjust for prestimulation fluorescence:

$$\text{Null: } \bar{x}_{ij}^{\text{window}} = \mu + \beta_{\text{bl}} \bar{x}_{ij}^{\text{baseline}} + \beta_{\text{on}} \text{OnTarget}_{ij} + \alpha_{I_{ij}} + u_j + \varepsilon_{ij}, \quad (11)$$

$$\text{Main: } \bar{x}_{ij}^{\text{window}} = \mu + \beta_{\text{bl}} \bar{x}_{ij}^{\text{baseline}} + \beta_{\text{on}} \text{OnTarget}_{ij} + \alpha_{I_{ij}} + \gamma_{S_{ij}} + u_j + \varepsilon_{ij}, \quad (12)$$

$$\text{Interaction: } \bar{x}_{ij}^{\text{window}} = \mu + \beta_{\text{bl}} \bar{x}_{ij}^{\text{baseline}} + \beta_{\text{on}} \text{OnTarget}_{ij} + \alpha_{I_{ij}} + \gamma_{S_{ij}} + \delta_{S_{ij}} \text{OnTarget}_{ij} + u_j + \varepsilon_{ij}. \quad (13)$$

492 **Incremental prediction of GCaMP by baseline EPR.** To quantify whether baseline EPR car-
493 ries predictive information about the subsequent GCaMP response beyond what baseline GCaMP
494 and acoustic dose already explain, we fit trial-level mixed models on the on-target trials only. The
495 reduced model predicted GCaMP from its baseline value, centred intensity (linear and quadratic),
496 and a random animal intercept:

$$\bar{x}_{ij}^{\text{window}} = \beta_0 + \beta_{\text{bl}} \bar{x}_{ij}^{\text{baseline}} + \beta_I I_c + \beta_{I^2} I_c^2 + u_j + \varepsilon_{ij}. \quad (14)$$

497 The full model included baseline D_{sym} as an additional predictor:

$$\bar{x}_{ij}^{\text{window}} = \beta_0 + \beta_{\text{bl}} \bar{x}_{ij}^{\text{baseline}} + \beta_I I_c + \beta_{I^2} I_c^2 + \beta_{\text{epr}} D_{\text{sym}_{ij}}^{\text{baseline}} + u_j + \varepsilon_{ij}. \quad (15)$$

498 A significant LR test comparing the full model to the reduced model indicates that baseline EPR
499 explains GCaMP variance that is not captured by baseline fluorescence or dose. Both models were
500 fit with ML estimation. The added-variable (partial regression) plots in Figure 6A,B visualise the
501 residual association between baseline EPR and post-window GCaMP after removing the shared
502 covariates [18].

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668 **Supplementary Material**

Table S1: Hierarchical mixed-model tests: EPR dose structure beyond baseline and calcium amplitude. Each row reports a likelihood-ratio (LR) test between nested models. Model A (baseline + amplitude only): $D_{\text{sym}}^{\text{post}} \sim D_{\text{sym}}^{\text{base}} + \Delta\text{GCaMP}_z + (1|\text{animal})$. Model B (shared dose): adds $I_c + I_c^2$. Model C (condition-specific dose): adds $\text{OnTarget} + \text{OnTarget} \times I_c + \text{OnTarget} \times I_c^2$. “B vs. A (on-target)” tests dose structure in on-target trials only; “B vs. A (all)” pools on-target and off-target; “C vs. B” tests whether dose–EPR slopes differ between conditions. $\beta_{\Delta\text{GCaMP}}$ and its p -value are from Model C.

Config.	Window	Comparison	χ^2	df	p	$\beta_{\Delta\text{GCaMP}}$	$p_{\Delta\text{GCaMP}}$
<i>CMT 2.5 Hz (n=5 on-target, n=5 off-target)</i>							
	Stim	B vs. A (on-target)	7.28	2	.026	+0.170	.113
	Stim	B vs. A (all)	3.87	2	.144	+0.181	<.001
	Stim	C vs. B	15.27	3	.002	+0.181	<.001
	Rec	B vs. A (on-target)	13.55	2	.001	−0.013	.469
	Rec	B vs. A (all)	7.23	2	.027	−0.006	.642
	Rec	C vs. B	27.23	3	<.001	−0.006	.642
<i>CMT 20 Hz (n=5 on-target, n=4 off-target)</i>							
	Stim	B vs. A (on-target)	1.04	2	.594	+0.003	.445
	Stim	C vs. B	0.72	4	.949	+0.002	.575
	Rec	B vs. A (on-target)	0.44	2	.804	−0.003	.319
	Rec	C vs. B	0.49	4	.974	−0.001	.780

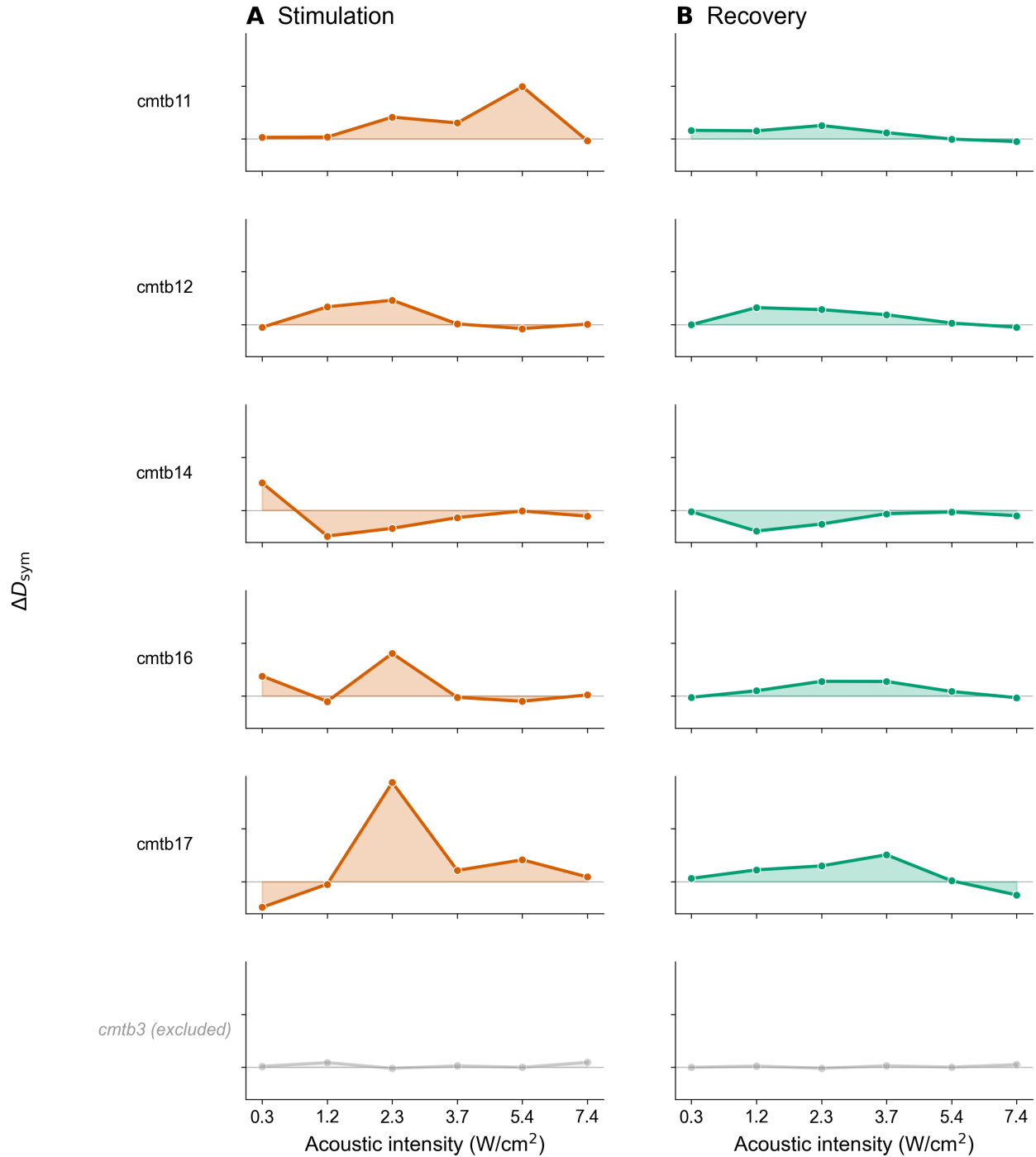


Figure S1: **Per-animal ΔD_{sym} dose-response: 2.5 Hz on-target.** Each row shows one animal's ΔD_{sym} as a function of acoustic intensity; left column: stimulation, right column: recovery. All panels share a common y-axis to facilitate comparison across animals. One animal (cmtb3, gray italic) was excluded from the primary analysis because it did not show any GCaMP response (see Supplementary Figs. S6–S7). Among the remaining 5 animals, a non-monotonic recovery profile peaking between 1.2 and 3.7 W/cm^2 is visible in 4, indicating that the group-level quadratic effect is not driven by a single subject.

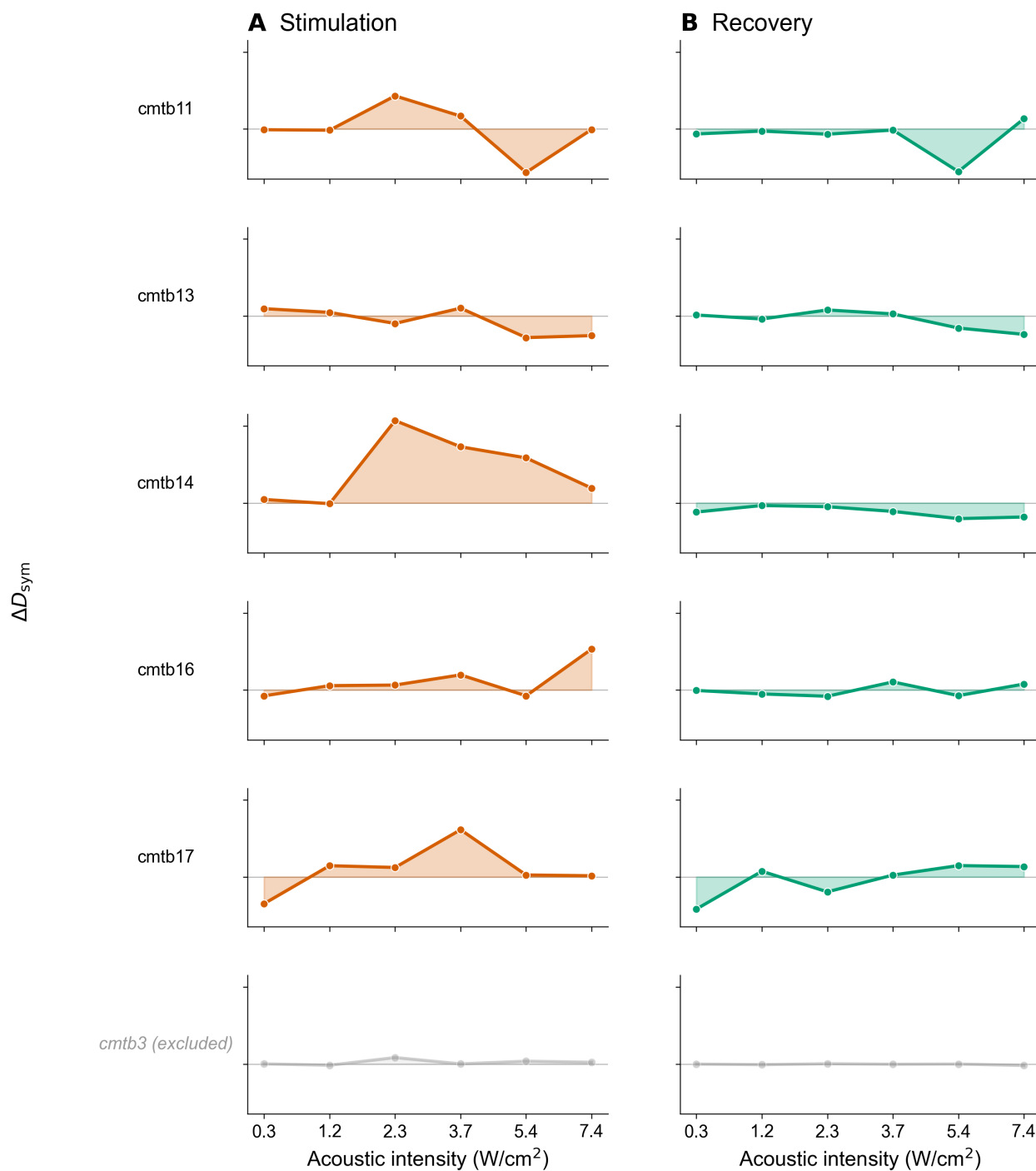


Figure S2: **Per-animal ΔD_{sym} dose-response: 2.5 Hz off-target.** Same format as Fig. S1. Interestingly, off-target stimulation generates an inverted-U dose-response in 3 of 5 animals. During the recovery period, EPR changes fluctuate around zero with no systematic intensity dependence.

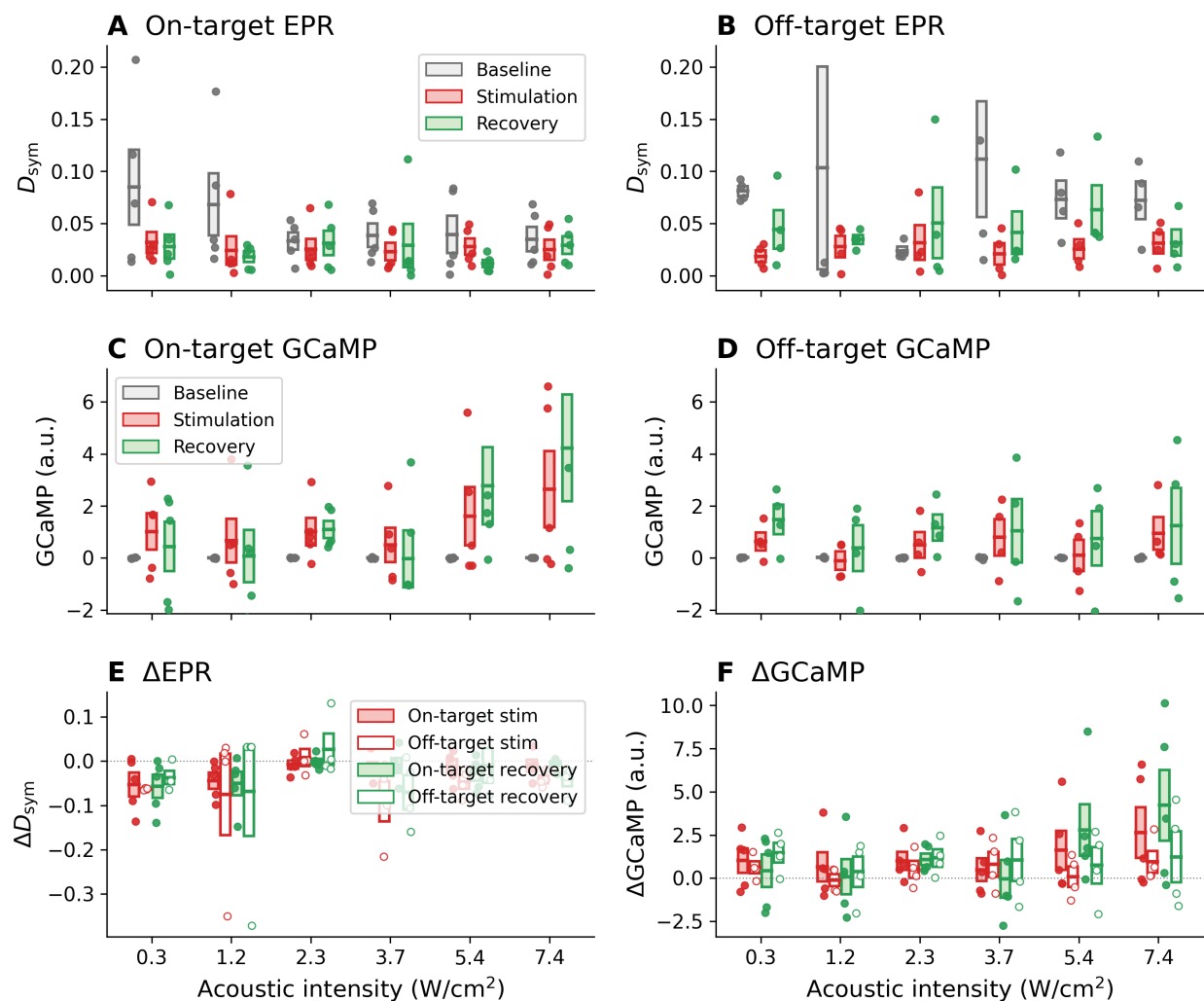


Figure S3: **EPR and GCaMP dose-response at 20 Hz PRF.** Same layout as Fig. 2 but for 20 Hz stimulation of the CMT. Murphy et al. [36] reported an offline inhibition of calcium amplitude at this configuration. We were unable to resolve a main effect of on-target stimulation on the GCaMP amplitude. Similarly, the corresponding analysis of EPR did not resolve a significant main effect of on-target sonication during stimulation ($p = 0.940$), although a nominally significant EPR *reduction* was present during recovery ($p = 0.044$).

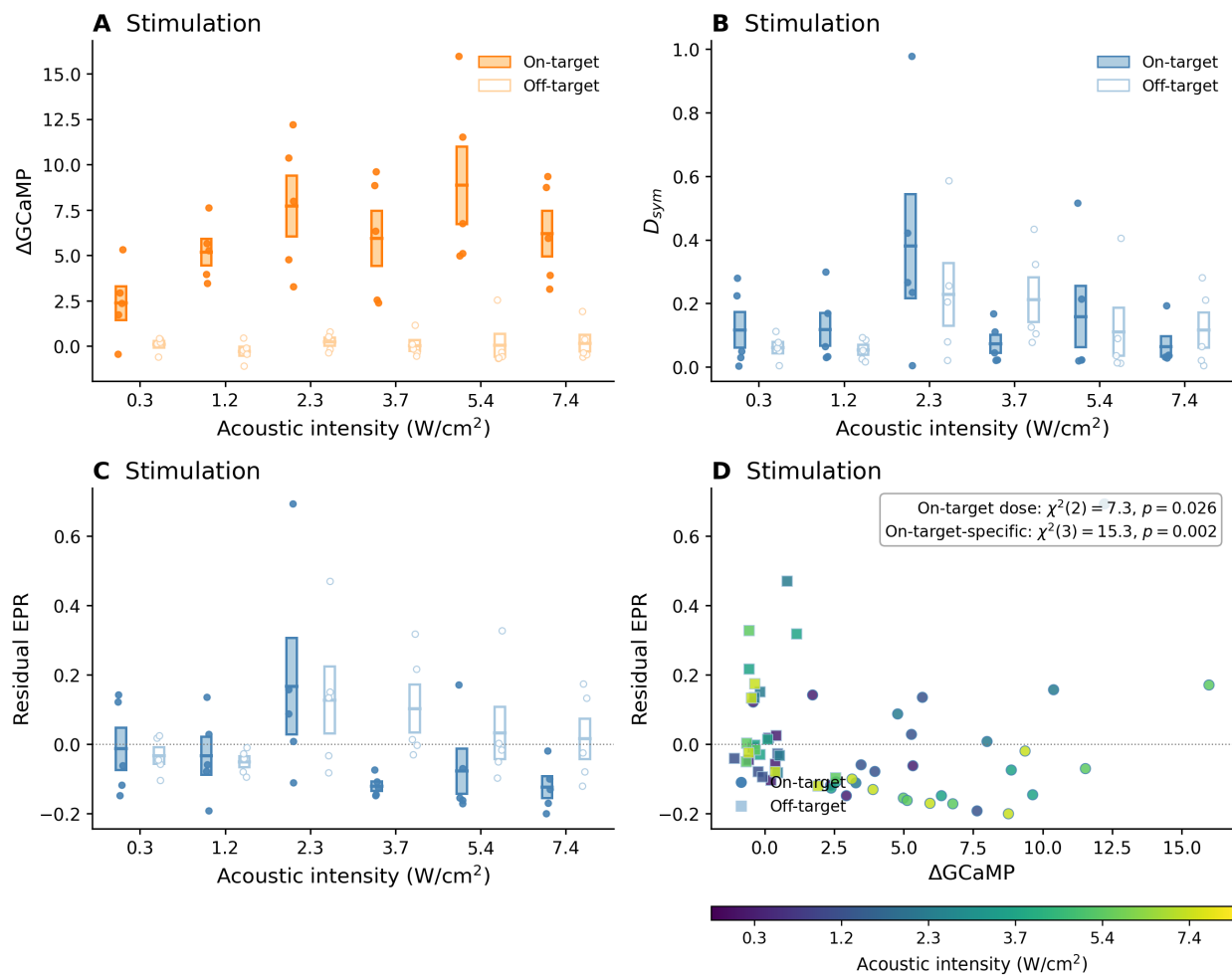


Figure S4: Stimulation-window EPR cannot be fully explained by calcium amplitude. Same analysis as Fig. 4 but applied to the stimulation window rather than recovery. **(A)** The change in GCaMP during sonication (ΔGCaMP) rises strongly with dose after on-target tFUS, while off-target remains near zero. **(B)** The EPR during sonication (D_{sym}) shows condition-specific dose structure. **(C)** The residual EPR after regressing out ΔGCaMP and baseline EPR: on-target residual dose structure is present but weaker than in the recovery analysis (Fig. 4C). Error bars: ± 1 SEM across animals. **(D)** Residual EPR versus ΔGCaMP , coloured by intensity. Within the on-target condition, dose remained significant after accounting for baseline EPR and ΔGCaMP ($\chi^2(2) = 7.28, p = 0.026$). Allowing dose terms to differ between on-target and off-target further improved fit ($\chi^2(3) = 15.27, p = 0.002$). Unlike recovery, however, the ΔGCaMP covariate remained strongly positive ($\beta = +0.181, p < 0.001$), indicating that stimulation-window EPR is only partially dissociated from fluorescence amplitude.

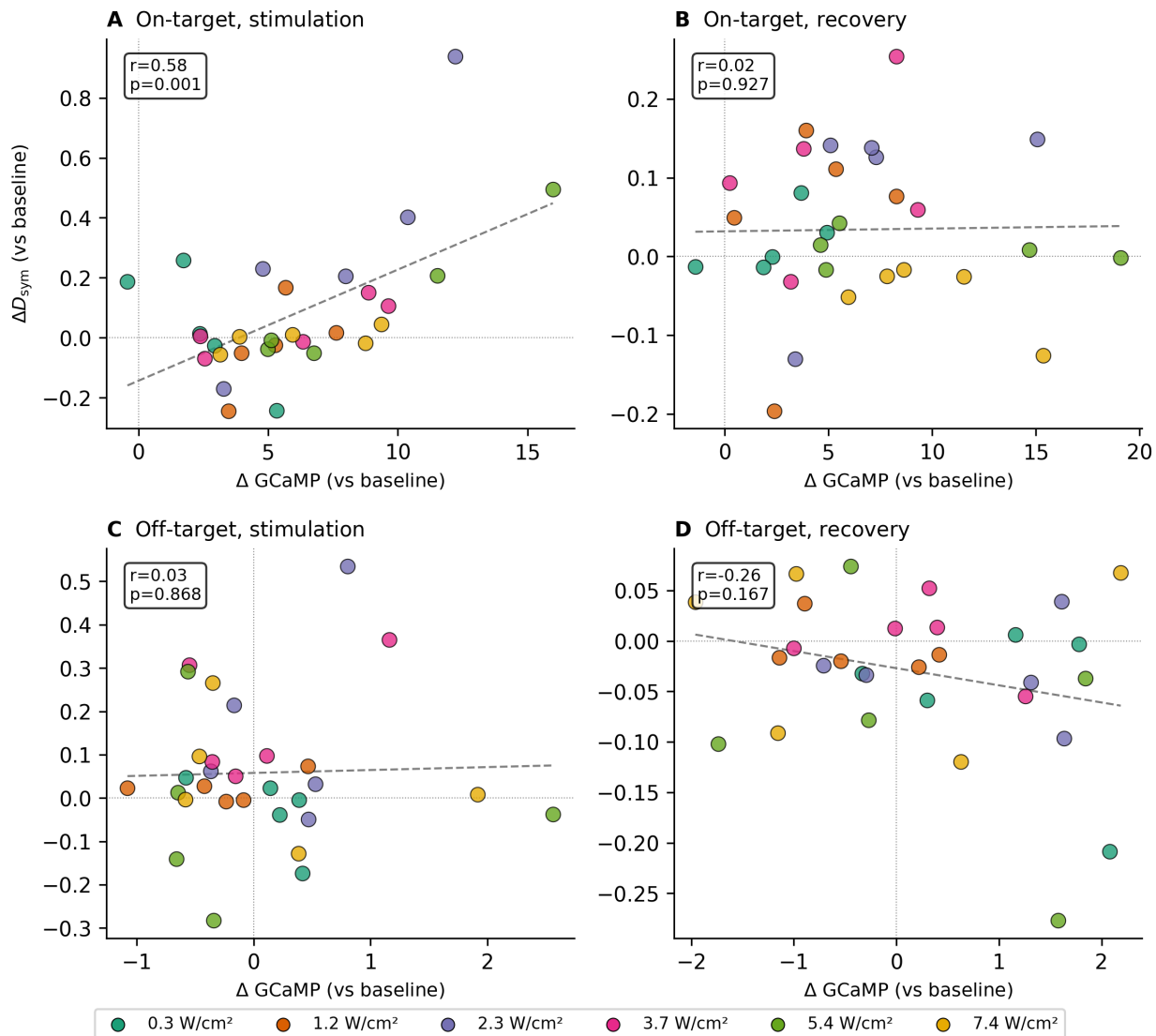


Figure S5: **Correlation between ΔD_{sym} and ΔGCaMP across conditions and windows at 2.5 Hz.** Each point represents one animal \times intensity combination; colours denote acoustic intensity. **(A)** On-target, stimulation: $r = 0.58$, $p < 0.001$. **(B)** On-target, recovery: $r = 0.02$, $p = 0.927$. **(C)** Off-target, stimulation: $r = 0.03$, $p = 0.868$. **(D)** Off-target, recovery: $r = -0.26$, $p = 0.167$. Main text Fig. 3C,D shows on-target panels only.

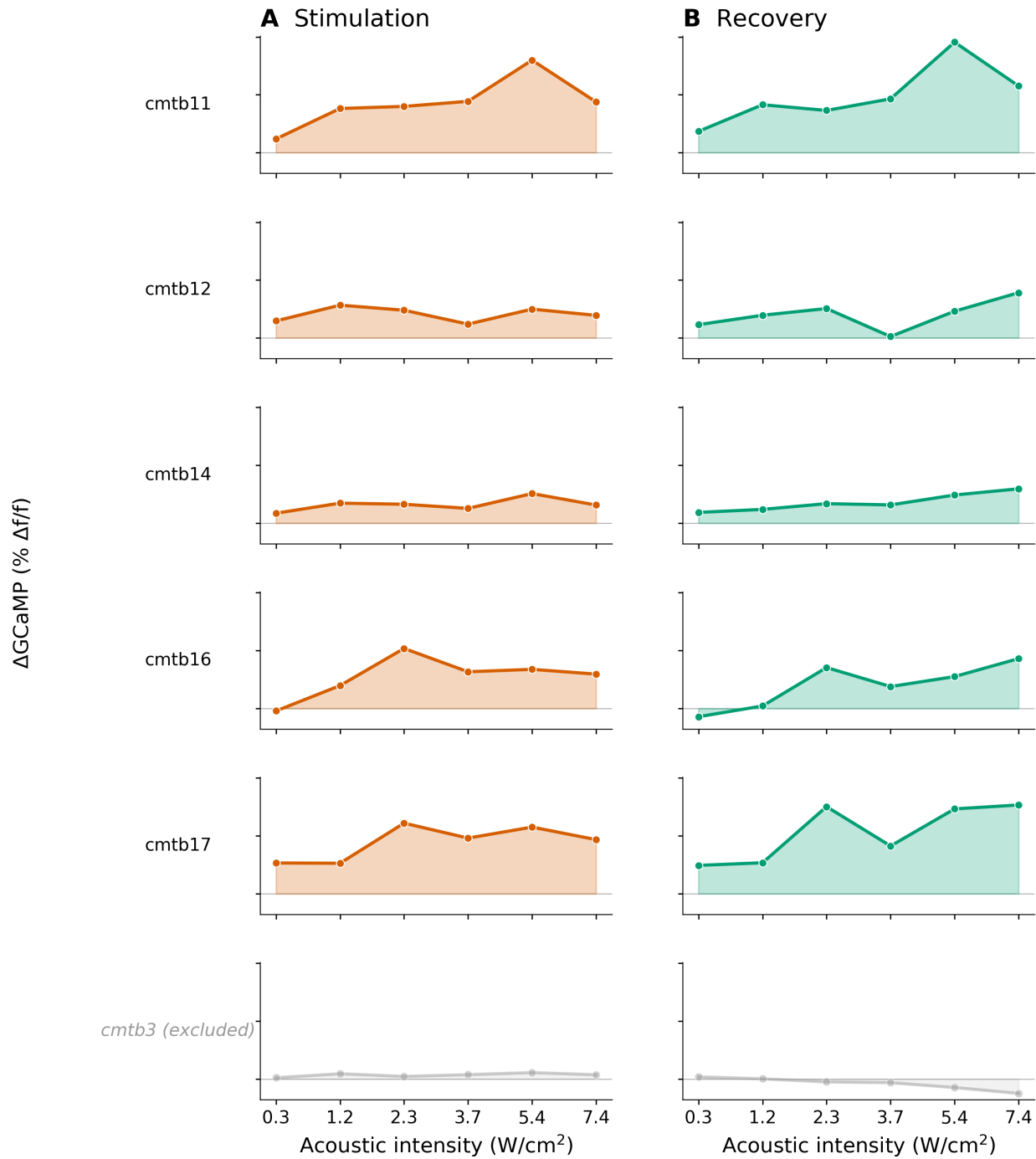


Figure S6: Per-animal ΔGCaMP dose-response: 2.5 Hz on-target. Each row shows one animal's baseline-subtracted GCaMP amplitude as a function of acoustic intensity; left column: stimulation, right column: recovery. All panels share a common y-axis. One animal (*cmtb3*, gray italic) was excluded from analysis as it did not exhibit a calcium response during or after stimulation. Remaining animals show positive responses that increase with intensity, consistent with the monotonic group-level dose-response (Fig. 2).

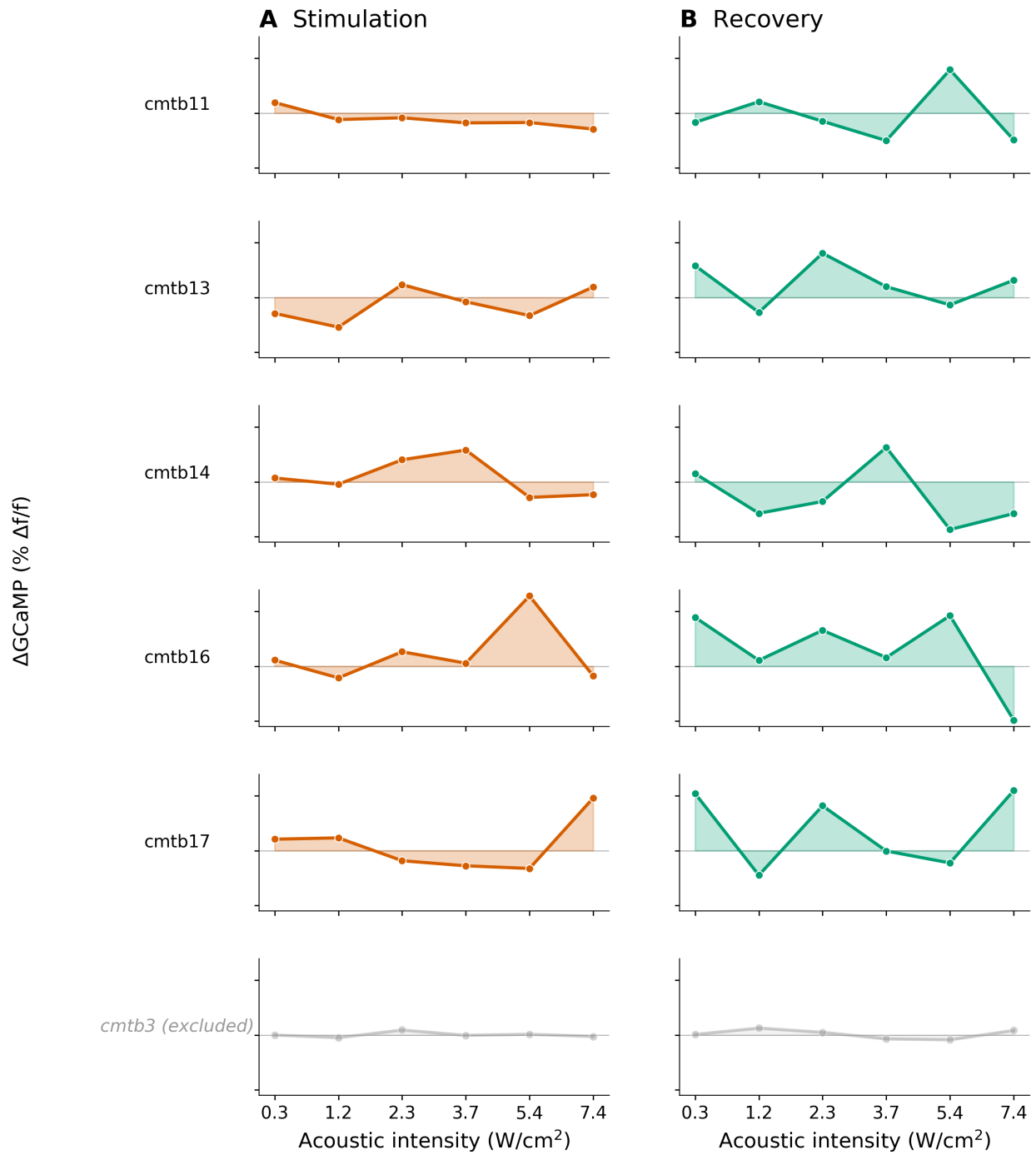


Figure S7: **Per-animal Δ GCaMP dose-response: 2.5 Hz off-target.** Same format as Fig. S6. Off-target changes remain near zero with no consistent dose dependence.